

**UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK**

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CITY OF PROVIDENCE, RHODE ISLAND, :
Individually and on Behalf of All Others Similarly :
Situating, :

Plaintiff,

-v-

BATS GLOBAL MARKETS, INC., et al. :

Defendants. :
----- X

Civil Action No. 14-cv-2811 (JMF)

CORPORATE DISCLOSURE STATEMENT OF
DEFENDANT THE GOLDMAN SACHS GROUP, INC.

Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure, Defendant The Goldman Sachs Group, Inc. hereby certifies that as of June 5, 2014, it has no parent corporations, and to the best of its knowledge no publicly-held companies own 10% or more of its common stock.

Dated: New York, New York
June 5, 2014

Respectfully submitted,

By: /s/ Robert J. Giuffra, Jr.
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